

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/06/2003 MBIZUNES-00000078 502454 10699533

~~01 FC:1001 770.00 DA~~
~~02 FC:1202 558.00 DA~~

Adjustment date: 01/08/2004 EEKUBAY1
11/06/2003 MBIZUNES 00000078 502454 10699533
01 FC:1001 770.00 CR
02 FC:1202 558.00 CR

01/08/2004 EEKUBAY1 00000015 502454 10699533

01 FC:2001 385.00 DA
02 FC:2202 279.00 DA

PTO-1556
(5/87)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:)	Group Art Unit: [not yet assigned]
HOBBS, Steven E, et al.)	
Title: PARALLEL DETECTION)	Examiner: [not yet assigned]
CHROMATOGRAPHY SYSTEMS)	
Serial Number: 10/699,533)	
Filed: October 30, 2003)	Attorney Docket: 132-Div1-US
)	Deposit Account No.: 502454

REQUEST FOR DEPOSIT ACCOUNT CREDIT

VIA FACSIMILE 703 308 5077

Office of Finance – Refund Branch
Attn: Latrice
United States Patent and Trademark Office
Alexandria, VA 22313

\$ 664.00

Dear Latrice:

With respect to the above-identified application, please be advised that our Deposit Account Number 502454 was incorrectly debited for large entity fees in the amounts of \$770.00 and \$558.00 on November 6, 2003. We claimed small entity status pursuant to 37 CFR § 1.27 upon filing the initial application.

Enclosed find a copy of our deposit account statement for November 2003 and a copy of the application transmittal wherein small entity status is indicated on page 2.

We respectfully request credits to our Deposit Account Number 502454 in the amounts of \$385.00 and \$279.00. Our contact information is as follows:

Nanostream, Inc.
580 Sierra Madre Villa Avenue
Pasadena, CA 91107-2828
Telephone: 626 351 8200
Facsimile: 626 351 8201

If you have any questions or require further information, please do not hesitate to contact our office.

CERTIFICATE OF FACSIMILE TRANSMISSION
(37 C.F.R. § 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being transmitted to the Office of Finance – Refund Branch at facsimile number 703 308 5077.

December 30, 2003
Date of Transmittal

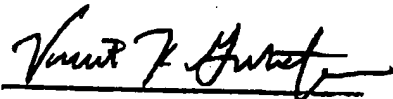
Roger Gordon

Name of Person Transmitting Paper

Roger Gordon
Signature of Person Transmitting Paper

Thank you for your kind assistance in this matter.

Respectfully submitted,



Dated: December 30, 2003

Vincent K. Gustafson
Reg. No. 46,182

USPTO Customer No.: 32763

Enclosures (2)



**United States
Patent and
Trademark Office**



Deposit Account Statement

Requested Statement Month: November 2003
Deposit Account Number: 502454
Name: NANOSTREAM, INC.
Attention: VINCENT K GUSTAFSON, ESQ.
Address: 580 SIERRA MADRE VILLA AVE.
City: PASADENA
State: CA
Zip: 91107

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/03	94	10696354	132 DIV 2-US	2001	✓\$385.00	\$10,301.00
11/03	95	10696354	132 DIV 2-US	2202	✓\$117.00	\$10,184.00
11/04	3	10697923	131-US	2001	✓\$385.00	\$9,799.00
11/04	4	10697923	131-US	2202	✓\$45.00	\$9,754.00
11/04	5	10697923	131-US	2201	✓\$43.00	\$9,711.00
11/05	58	PCT/US03/34405	132-DIV-2-WO	1601	✓\$240.00	\$9,471.00
11/05	59	PCT/US03/34405	132-DIV-2-WO	1704	✓\$1,119.00	\$8,352.00
11/05	60	PCT/US03/34405	132-DIV-2-WO	1701	✓\$328.00	\$8,024.00
11/05	81	PCT/US03/34405	132-DIV-2-WO	1703	✓\$336.00	\$7,688.00
11/05	82	PCT/US03/34405	132-DIV-2-WO	1707	✓\$520.00	\$7,168.00
11/05	63	PCT/US03/34405	132-DIV-2-WO	8007	✓\$80.00	\$7,108.00
11/06	146	10696354	132 DIV 2-US	8021	✓\$40.00	\$7,068.00
11/06	155	10699533	132-DIV1-US	1001	✓\$770.00	\$6,298.00
11/06	156	10699533	132-DIV1-US	1202	✓\$558.00	\$5,740.00
11/07	293	10699533	132-DIV1-US	8021	✓\$40.00	\$5,700.00
11/07	358	PCT/US03/34854	132-DIV-WO	1601	✓\$240.00	\$5,460.00
11/07	359	PCT/US03/34854	132-DIV-WO	1704	✓\$1,119.00	\$4,341.00
11/07	360	PCT/US03/34854	132-DIV-WO	1701	✓\$328.00	\$4,013.00
11/07	361	PCT/US03/34854	132-DIV-WO	1703	✓\$384.00	\$3,629.00
11/07	362	PCT/US03/34854	132-DIV-WO	1707	✓\$520.00	\$3,109.00
11/07	363	PCT/US03/34854	132-DIV-WO	8007	✓\$80.00	\$3,089.00
11/10	85	10134119	273/080	2801	✓\$385.00	\$2,704.00
11/10	86	10134119	273/080	2202	✓\$18.00	\$2,686.00
11/18	40	E-REPLENISHMENT		9203	✓\$3,000.00	\$5,686.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$10,686.00	\$8,000.00	\$3,000.00	\$5,686.00

* charged 1 sr
instead of 2nd.

Docket No. 132-DIV1-US

To: ~~Mail Stop Patent Application~~
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **Utility** patent application:

Inventor(s): Steven E. Hobbs, 22835 Sherman Way, West Hills, CA 91307, USA
Hau H. Duong, 841 South Los Robles Avenue, Pasadena, CA 91106, USA

Title: PARALLEL DETECTION CHROMATOGRAPHY SYSTEMS

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

29 Page(s) Written Description
7 Page(s) Claims
1 Page(s) Abstract
25 Sheets of Drawings ☐ Informal ☒ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☒ Declaration
☒ Power of Attorney ☒ Separate ☐ Combined with Declaration
☒ Corporate Resolution
☒ Assignment to Nanostream, Inc. and Recordation Form Cover Sheet
☐ Non-Publication Request Under 35 U.S.C. 122(b)(2)(B)(i)
☐ Information Disclosure Statement w/ PTO 1449 ☐ Copy of Citations
☐ Preliminary Amendment
☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35.
☒ Return Postcard

EU 659373485 US

CERTIFICATE OF MAILING
(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office to Addressee' in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EU659373485US
Express Mail Label No.

October 30, 2003
Date of Deposit

Roger Gendron
Name of Person Mailing Paper

Roger Gendron
Signature of Person Mailing Paper

COPY

Docket No. 132-DIV1-US

III. **PRIORITY**

- ☒ Priority of U.S. Provisional Patent Application Serial No. 60/422,901 filed October 31, 2002 is claimed under 35 U.S.C. § 120. The present application is filed as a Utility application pursuant to 37 CFR § 1.53(b)(2).

IV. **THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

- ☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE							\$770.00
Total Claims	51	-	20	=	31	x \$18.00	\$558.00
Independent Claims	2	-	3	=	0	x \$86.00	\$0.00
Multiple Dependent Claims	\$290 (If applicable) <input type="checkbox"/>						\$0.00
TOTAL OF ABOVE CALCULATIONS							\$1328.00
Reduction by 1/2 for Filing as Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.27 If applicable, as asserted above.							\$664.00
<input checked="" type="checkbox"/>							
Misc. Filing Fees (e.g. Recordation of Assignment - \$40)							\$40.00
TOTAL FEES DUE HEREWITH							\$704.00

V. **METHOD OF PAYMENT OF FEES**

- ☐ A check in the amount of \$0.00.
- ☒ Authorization to charge Deposit Account No. 502454 for the fees in total.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. **AUTHORIZATION TO CHARGE OR CREDIT FEES**

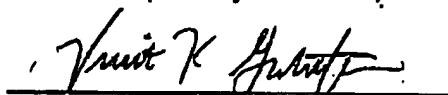
The Commissioner is authorized to credit any overpayment or debit any underpayment in the total fees to Nanostream's Deposit Account No. 502454.

VII. **CORRESPONDENCE ADDRESS**

Please send all correspondence and direct all inquiries to Vincent K. Gustafson at the Customer Number noted below.

USPTO Customer No.: 32763

Respectfully submitted,

Dated: October 30, 2003

Vincent K. Gustafson
Reg. No. 46,182



www.nanostream.com

580 Sierra Madre Villa
Pasadena, CA 91107
tel: 626-351-8200
fax: 626-351-8201

Facsimile

To: Office of Finance – Refund Branch Total Pages: 6
Attn: Latrice
From: Vincent K. Gustafson, Intellectual Property Counsel
Nanostream, Inc.
Fax: 703 308 5077
Date: December 30, 2003
Re: Request for Refund

If you do not receive all of the pages, please call Roger Gendron at 1 626 351 8200, extension 6511.

Notes/Comments:

Request for refund enclosed. Thank you.

This communication is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this communication is not the intended recipient or the employee or agent responsible for delivering the communication to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone, and return the original message to us by mail at the above address. Thank you.